

PATENT Attorney Docket No. <u>HOOV 117</u>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,868

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE

WITH THIN ELECTRODES

Commissioner for Patents U.S. Patent & Trademark Office Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
"Express Mail" Mailing Label No.: EV036908557US

Date of Deposit January 27, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

NAME \_\_\_\_\_\_ Jeannie Rapstad

SIGNATURE (

**RECEIVED** 

JAN 3 0 2003

**TECHNOLOGY CENTER R3700** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

The enclosed references were cited in an office action in a related application received within the past three months. After making a reasonable inquiry of the client/inventor and relevant application files, it is certified that to the knowledge and belief of the undersigned, no item in this statement was previously known to any individual designated in 37 C.F.R. 1.56(c) more than three moths prior to the filing of this statement.

Accordingly, it is believed that no additional fee is required for the submission of this Supplemental Information Disclosure Statement. However, should an additional fee be required,

authorization is hereby given to charge Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: <u>January 27, 2003</u>

Registration No. 27,357

Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd.

200 West Adams St., Suite 2850

Chicago, IL 60606

Telephone: (312) 236-8500

Attorneys for Applicant



1-2003

#### 3 cm PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/015,868 **Application Number** TRANSMITTAL December 12, 2001 Filing Date **FORM** Michael D. Hooven First Named Inventor Group Art Unit 3739 (to be used for all correspondence after initial filing) Rosiland S. Kearney **Examiner Name HOOV 117** Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Х Extension of Time Request identify below): Terminal Disclaimer - Form PTO/SB/08A Express Abandonment Request - 3 Patent References Request for Refund SUPPLEMENTAL - Certificate of Mailing Information Disclosure Statement - Return Réceipt Poscard CD, Number of CD(s). Certified Copy of Priority Remarks Document(s) 9 Sheets plus 3 Patent References RECEIVED Response to Missing Parts/ Incomplete Application By U.S. Postal Service Express Mail Response to Missing Parts JAN 3| 0 2003 under 37 CFR 1.52 or 1.53 Label No. EV036908557US JECHNOLOGY CENTER R3700 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357) Individual name Signature Date Janua**r/**/ 27, 2003 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as TISS CHESK mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 27, 2003 Jeannie Rapstad Typed or printed name January 27, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

anue

Signature

PATENT

Attorney Docket No. HOOV 117

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

To De Booldon of	\
In Re Application of:	CERTIFICATE OF MAILING BY "EXPRESS MAIL"
Michael D. Hooven	"Express Mail" Mailing Label No.: EV036908557US  Date of Deposit January 27, 2003
Serial No.: 10/015,868	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated
Filed: December 12, 2001	above and is addressed to the Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231
Group Art No.: 3739	) NAME
Examiner: Rosiland S. Kearney	) SIGNATURE Jamie Ropstod
For: TRANSMURAL ABLATION DEVICE	) DE0-
WITH THIN ELECTRODES	) KECEIVED

Commissioner for Patents U.S. Patent & Trademark Office Washington, D.C. 20231

JAN\_3 0 2003 TECHNOLOGY CENTER R3700

# Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EV036908557US under 37 CFR 1.10 on January 27, 2003 and is addressed to: Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231:

- 1. Transmittal Form PTO/SB/21 (1 sheet);
- Supplemental Information Disclosure Statement (in duplicate, 6 sheets total);
- 3. PTO/SB/08A (1 sheet);
- 4. Three Patent References;
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

Name:	Jeannie	Rapstad	
Cignoturo	Our	in Ra	ntal

₩ EVO36908557US)